#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

David C. Collins

Examiner: Jeffrey S. Smith

Serial No.:

10/820,952

Group Art Unit: 2624

Filed:

April 8, 2004

Docket No.: 200400670-1

Title:

GENERATING AND DISPLAYING SPATIALLY OFFSET SUB-FRAMES

#### **DECLARATION OF PRIOR INVENTION UNDER 37 C.F.R. § 1.131**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

This Declaration is submitted to establish prior invention of the subject matter of the present patent application. The person making this Declaration is inventor David C. Collins.

Accompanying this Declaration is Exhibit A to establish reduction to practice of the subject matter of the present patent application in the United States prior to the publication date of February 12, 2004 of U.S. Patent Application No. US 2004/0027363 (hereinafter referred to as "Allen").

Exhibit A (14 pages) includes a Hewlett-Packard Company (HP) Invention Disclosure and attachment submitted by the inventor and received by the HP Legal Department prior to February 12, 2004. In addition, this invention disclosure was witnessed prior to February 12, 2004. This invention disclosure was assigned HP Patent Disclosure No. 200400670. The invention disclosure and attachment describe the subject matter of the present patent application.

From these exhibits, it can be seen that the subject matter of the present patent application was reduced to practice prior to the publication date of February 12, 2004 of Allen.

As a person signing below, I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signed:

David C. Collins

Date: 28- Muy-2008

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# 200400670: A Practical Implementati...

Innovation Number 200400670

### Disclosure Summarys.

Title A Practical Implementation of the 2 Position Multipass Center Adaptive Algorithm

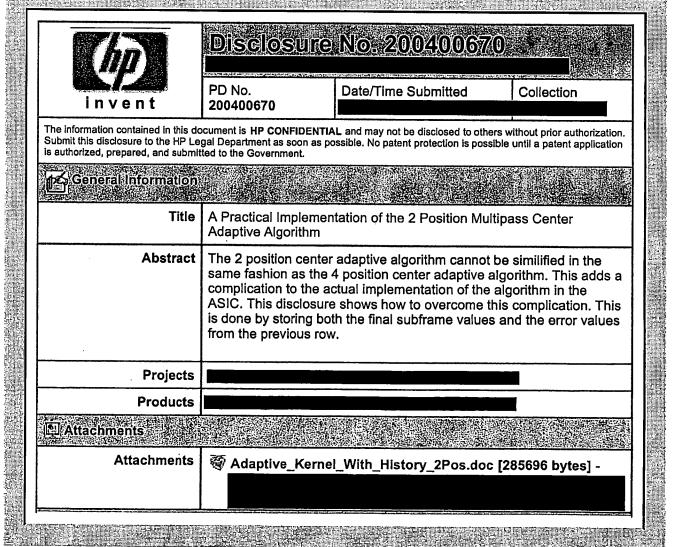
Abstract The 2 position center adaptive algorithm cannot be similified in the same fashion as the 4 position center adaptive algorithm. This adds a complication to the actual implementation of the algorithm in the ASIC. This disclosure shows how to overcome this complication. This is done by storing both the final subframe values and the error values from the previous row.

**Attachments** 

Adaptive\_Kernel\_With\_History\_2Pos.doc [285696 bytes]

Inventors David C Collins

Invention Disclosures



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Pro	blems Solved	This invention shows how to implement a mutlipass center adaptive
		algorithm for 2 position wobulation using the same memory requirements as the simplified 4 position center adaptive algorithm
P .	rior Solutions	Most of the prior solutions for 2 position wobulation have been sing pass. My recent disclosure "A Practical Implementation of Multipass Adaptive Wobultion" can only be extended to the 2 position algorith if the standard adaptive method is used, but the standard adaptive algorithm does not perform well for some types of input.
	Description	The complete description is contained in the attatched document. Feather the implementation of the multipass adaptive algorithm, one region memory is required to store the previously processed subframe row. The novelty of this invention is storing the error values from the previous processed row as well. In particular the error value that is stored is as follows: error = error_left + 2*error + error_right By stored the error values in this fashion, the error values and the final subframe values can both be stored in an interleaved fashion in the same region of memory. This enables the 2 position algorithm and 4 position algorithm to be implemented using the same amount of memory. The other novelty of this invention is transforming the error value from a signed number containing many bits to an 8 bit number A simple conversion routine is defined in the attatched document, another embodiment would be to use a look up table to do the
		conversion.
	Advantages ·	The primary adavantage of this algorithm is that it enables to 2 position center adaptive wobulation algorithm to be performed using the same memory requirements as the 4 position algorithm. This invention enables one ASIC to be development that contains both algorithms with out any unnecessary memory. Without this invention the 3 pass 2 position center adaptive algorithm would require and additional 20% of on chip memory.
Invent	on History - 2	
	Published	
	Announced	
	Disclosed	
Next T	hree Months	
	Described	
	Built	
Governm	ent Contract	
Relate	d Disclosure	

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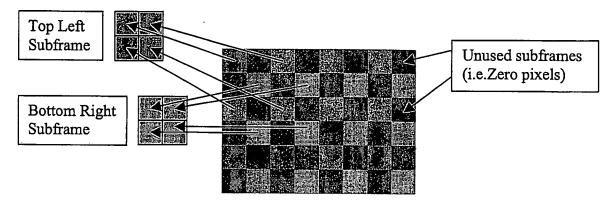
Innovation Workshop	No
Inventors	David C Collins Hewlett-Packard Company Corvallis
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Witnesses	
Witnesses	Matthew P Heineck Hewlett-Packard Company Corvallis
Classification (	
Recommended Classification	
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Administrative Recor	d. Carlo Survey Comment of the Comme
Date/Time Submitted	
PD Number	200400670
Date PD Number Assigned	

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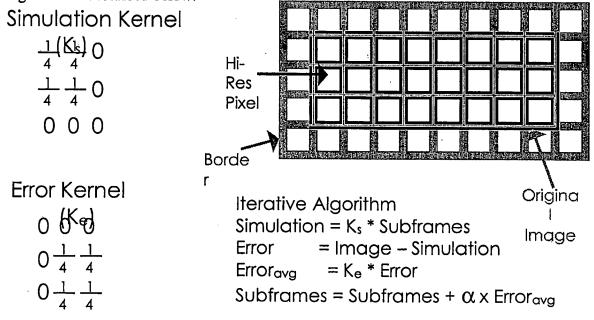
# Adaptive Kernel With History - 2 Position

#### Background

The following explanation is assuming 2 position wobulation. Thus, two low resolution subframes must be generated for each frame — one for each wobulation position. Both of the subframes are processed together at the same time, and the subframes are intertwined. Thus, every second pixel will correspond to a different subframe. The following diagram illustrates the idea. The grey pixels are not used for two position wobulation.

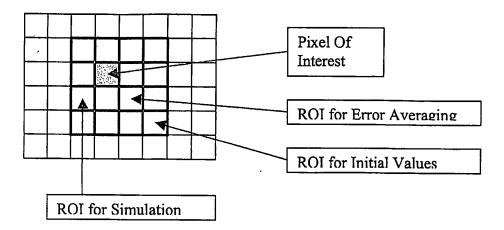


To compute the optimal solution, and iterative algorithm can be used. The iterative approach has been called the adaptive algorithm. The standard 4 position adaptive algorithm is described below.

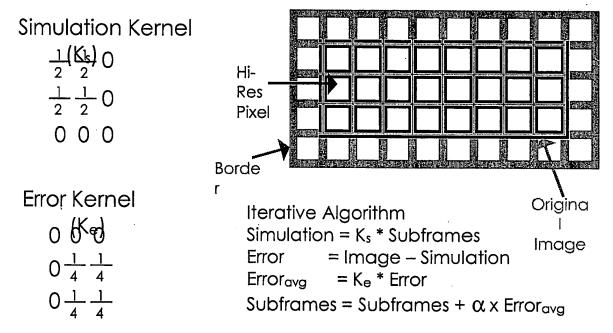


In a previous disclosure, I showed how the 4 position standard adaptive algorithm could be implemented in one pass using a small region of interest. One of the key discoveries was that the pixels above the pixel of interest were only needed to generate a simulated image (all the subframes merge together). The following diagram illustrates the region of interest for one pass of the 4 position standard adaptive algorithm.

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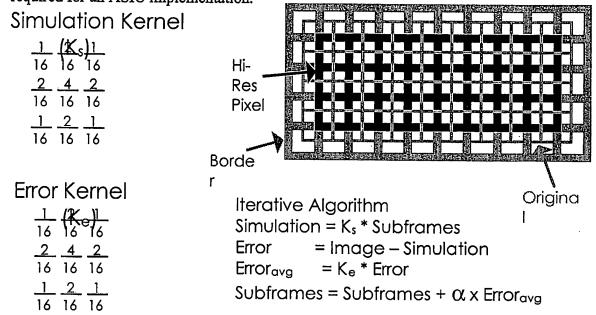
Since the pixels above the pixel of interest are only required for the simulation ROI, the calculated values for the previous row are exactly the values that are needed. This same approach works for the 2 position standard adaptive method. The only change is that the simulation kernel has a value of ½ instead of ¼. This change occurs because only half of the high resolution pixels are non-zero. Everything else for the adaptive kernel with history works exactly as was disclosed before.



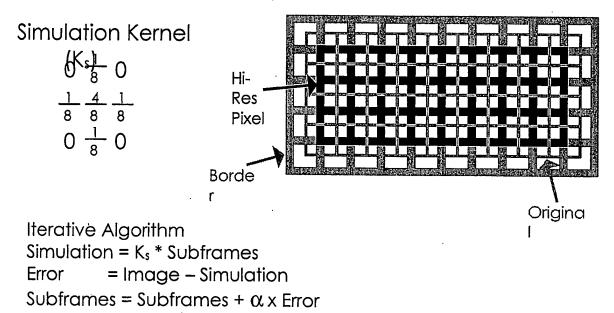
The next algorithm that I considered for 4 position wobulation was the center adaptive algorithm. This algorithm performed better than the standard adaptive algorithm on single pixel lines. The center adaptive algorithm has several drawbacks in terms of implementation. First it includes many more computations than the standard adaptive algorithm. The second disadvantage is that both the error ROI and the simulation ROI for a given pixel extend above and below the pixel of interest. This implies that for the adaptive kernel with history. Both the final subframe values and error values from the

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previous row are required for the algorithm. Thus more on chip memory would be required for an ASIC implementation.



To overcome the implementation difficulties with the center adaptive algorithm. I presented a simplified center adaptive algorithm. This simplified center algorithm performed comparably to the center adaptive algorithm, and it was less computationally expensive than even that standard adaptive algorithm. The simplified algorithm is given below. One of the key features to note is that the error averaging step has been eliminated. This is what allowed the adaptive kernel with history approach to work without the burden of additional memory.

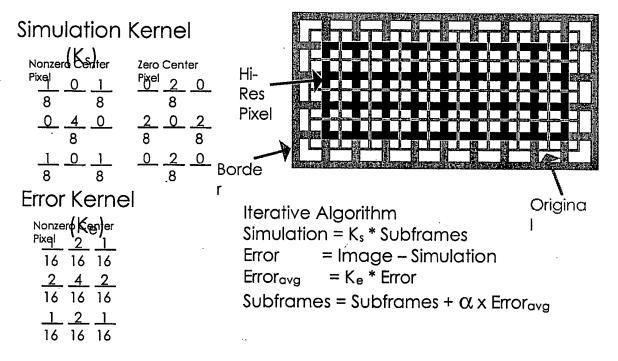


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Unfortunately these simplifications do not give satisfying results for two position wobulation. This approach fails because only half of the subframe values are non-zero. Two of the subframes don't exist at all, and this is the same as setting all their values to zero.

#### 2 Position Center Adaptive Kernel with History

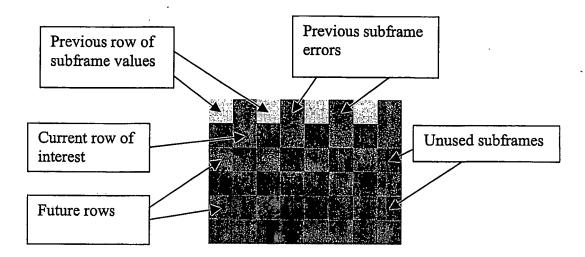
Consider again the complete center adaptive algorithm. For 2 position wobulation, conceptually, half of the high resolution values are zero (two of the four subframes don't exist at all). Thus, the simulation kernel can be reduced to the following two kernels. One kernel is used when the center hi-res pixel is non-zero, and the other kernel is used when the center pixel is zero. In addition, the error only needs to be averaged for the nonzero pixel location (the error only has to be feedback for subframes that exist).



Thus, the computational complexity is only half of the complete 4 position center adaptive algorithm. The obstacle of the error from the previous row still remains. The solution is to store both the final subframe values and the error values in one row of image memory. For a given high resolution row, only half of the locations are used to store subframe values. The other half of the values are unused or set to zero. This leaves half of the values for storing the errors.

The second observation is that for a given row in the hi-res image, only half of the values require the error to be averaged. The following diagram is an attempt to illustrate this point.

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The pink error values actual contains a summation of the error value of the left and right pixels in addition to its own error value. In particular, each error value adheres to the following formula:

```
Error = 1*error left pixel + 2*error + 1*error right pixel
```

This matches the first row of the error averaging kernel. Thus, in one row of memory we can store both the required error values and the subframe values. The last little detail is that the error value is a signed value, and it contains more bits than a single pixel. To accommodate this we can use a lookup table or a simple mapping. Psuedo code for one such simple mapping is as follows:

Thus, the key features to implement the 2 position center adaptive algorithm using the adaptive kernel with history is that both the final subframe values and the previous error values can be stored in one row of image memory. Thus, a 3 pass 2 position center adaptive algorithm can still be implemented with 1 row of history and 4 rows of image data.

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#### Appendix

For completeness I have include some C++ code for calculating the center adaptive kernel with history. One thing to note is that I need to keep track of whether I am on an odd or an even pixel because I have to keep track of which subframe values are unused.

```
unsigned char AdaptiveCenterKernel_2Pos::Calculate
      unsigned char final0,
      unsigned char imagel,
      unsigned char image2,
       unsigned char image3,
       unsigned char image4,
       unsigned char isOddPixel
)
{
      int temp;
       // isOddPixel = true
              f0 1 0
                            f0 3
                                     0
                                         f0 5
                ō
                                   gl 4
       // gl 0
                      g1 2
                             ō
                                         . 0
       // ¯ <del>¯</del>
               g2 1
                      0
                            g2 3
                                   ō
                                         g2 5
       // g3_0
               ō
                      g3_2
                                           0
                            0
                                   g3 4
               g4 1
                      0
                            g4_3
                                         g4 5
      // isOddPixel = false
                      f0 2
               0
                                   f0 4
                            g1_3
      // 0
               gl_1
                      ō
                                         g1 5
                      g2_2
                                   g2_4
               ō
       // g2 0
                            ō
      // <u>0</u>
                     ō
                            g3_3
               g3 ·1
                                  ō
                                         g3 5
      // g4_0
                      g4 2
                                   g4 4
      shiftValues();
      final0_5M = final0;
      image1_5M = image1;
image2_5M = image2;
image3_5M = image3;
      image4 5M = image4;
      // calculate guess for column 4
      // using pixel selection - no calculations here
      guess1_4M = image1_4M;
      guess2 4M = image2 4M;
      guess3 4M = image3 4M;
      guess4 4M = image4 4M;
      // compute sim column 4
      int sim1_4 = 0;
      int sim2_4 = 0;
      int sim3 4 = 0;
      int sim4 4 = 0;
      if ( isOddPixel )
             sim1_4 = final0_3M+guess2_3M+final0_5M+image2_5M;
             siml_4 += guessl_4M<<2; // multiply by four
             sim2_4 = (guess1_4M << 1) + (guess2_3M << 1) + (image2_5M << 1) + (guess3_4M << 1);
             sim3_4 = guess2_3M+guess4_3M+image2_5M+image4_5M;
             sim3_4 += guess3_4M<<2; // multiply by four
```

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```
//sim4_4 = (guess3_4M<<1) + (guess4_3M<<1) + (guess4_5M<<1) + (guess5_4M<<1); invalid
       sim4_4 = (guess3_1M<<1) + (guess4_3M<<1) + (image4_5M<<1) + (guess4_4M<<1);
}
else
       sim1_4 = (final0_4M << 1) + (guess1_3M << 1) + (image1_5M << 1) + (guess2_4M << 1);
       sim2_4 = guess1_3M+guess3_3M+image1_5M+image3_5M;
       sim2_4 += guess2_4M<<2; // multiply by four
       sim3_4 = (guess2_4M<<1) + (guess3_3M<<1) + (image3_5M<<1) + (guess4_4M<<1);
       // sim4_4 = guess3_3M+guess5_3M+guess3_5M+guess5_5M; invalid
       sim4_4 = guess3_3M+guess4_3M+image3_5M+image4_5M;
       sim4_4 += guess4_4M<<2; // multiply by four</pre>
int err1_4 = (image1_4M<<3) - sim1_4; // column 4
int err2_4 = (image2_4M<<3) - sim2_4;
int err3_4 = (image3_4M<<3) - sim3_4;
int err4_4 = (image4_4M<<3) - sim4_4;</pre>
// compute sim column 3
int sim1 3 = 0; // column 3
int sim2 3 = 0;
int sim3 3 = 0;
int sim4 3 = 0;
if(!isOddPixel)
       sim1_3 = final0_2M+guess2_2M+final0_4M+guess2_4M;
       sim1_3 += guess1_3M<<2; // multiply by four
       sim2_3 = (guess1_3M<<1) + (guess2_2M<<1) + (guess2_4M<<1) + (guess3_3M<<1);
       sim3_3 = guess2_2M+guess4_2M+guess2_4M+guess4_4M;
       sim3_3 += guess3_3M<<2; // multiply by four
       sim4_3 = (guess3_3M<<1) + (guess4_2M<<1) + (guess4_4M<<1) + (guess4_3M<<1);
else
{
       siml_3 = (final0_3M<<1) + (guess1_2M<<1) + (guess1_4M<<1) + (guess2_3M<<1);
       sim2_3 = guess1_2M+guess3_2M+guess1_4M+guess3_4M;
       sim2_3 += guess2_3M<<2; // multiply by four
       sim3_3 = (guess2_3M<<1) + (guess3_2M<<1) + (guess3_4M<<1) + (guess4_3M<<1);
       // sim4_3 = guess3_2M+guess5_2M+guess3_4M+guess5_4M; invalid
       sim4_3 = guess3_2M+guess4_2M+guess3_4M+guess4_4M;
       sim4_3 += guess4_3M<<2; // multiply by four</pre>
}
int err1 3 = (image1_3M<<3) - sim1_3; // column 3
int err2_3 = (image2_3M << 3) - sim2_3;
int err3 3 = (image3 3M << 3) - sim3 3;
int err4_3 = (image4 3M << 3) - sim4 3;
int siml_2 = 0; // column 2
int sim2_2 = 0;
int sim3_2 = 0;
int sim4 2 = 0;
```

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```
if( isOddPixel )
        siml_2 = final0_lM+guess2_lM+final0_3M+guess2_3M;
        sim1_2 += guess1_2M<<2; // multiply by four
        sim2_2 = (guess1_2M << 1) + (guess2_1M << 1) + (guess2_3M << 1) + (guess3_2M << 1);
       sim3_2 = guess2_1M+guess4_1M+guess2_3M+guess4_3M;
       sim3_2 += guess3_2M<<2; // multiply by four
       //\sin 4_2 = (guess3 2M << 1) + (guess4_1M << 1) + (guess4_3M << 1) + (guess5_2M << 1); invalid
       sim4_2 = (guess3_2M << 1) + (guess4_1M << 1) + (guess4_3M << 1) + (guess4_2M << 1);
}
else
{
       siml_2 = (final0_2M << 1) + (guessl_1M << 1) + (guessl_3M << 1) + (guess2_2M << 1);
       sim2_2 = guess1_1M+guess3_1M+guess1_3M+guess3_3M;
       sim2_2 += guess2_2M<<2; // multiply by four
       sim3_2 = (guess2_2M << 1) + (guess3_1M << 1) + (guess3_3M << 1) + (guess4_2M << 1);
       // sim4_2 = guess3_1M+guess5_1M+guess3_3M+guess5_3M; invalid
       sim4_2 = guess3_1M+guess4_1M+guess3_3M+guess4_3M;
       sim4_2 += guess4_2M<<2; // multiply by four
}
int err1_2 = (image1_2M<<3) - sim1_2; // column 2
int err2_2 = (image2_2M<<3) - sim2_2;
int err3_2 = (image3_2M<<3) - sim3_2;
int err4_2 = (image4_2M<<3) - sim4_2;
// compute guess column 3
if( isOddPixel )
       temp = (err1_2>>2) + (err1_3>>1) + (err1_4>>2); // 2x 4x 2x temp += (err2_2>>1) + (err2_3) + (err2_4>>1); // 4x 8x 4x temp += (err3_2>>2) + (err3_3>>1) + (err3_4>>2); // 2x 4x 2x
       temp = temp * alphalM;
       temp = temp >> 7;
                                        // numerator is assumed to be 4
       temp = temp + guess2 3M;
       guess2_3M = max(0,min(temp, 2.55));
                                                    /// clip value
       // err4_3 = don't update this value - we don't realy have enough information
       // I will need to check if an aproximation is better than nothing though
}
else
                 = (err0_2>>2) + (err0_3>>1) + (err0_4>>2); // 2x 4x 2x
       //temp
       temp = (final0_3M-127)<<3; // 2x 4x 2x
temp += (err1_2>>1) + err1_3 + (err1_4>>1); // 4x 8x 4x
              += (err2_2>>2) + (err2_3>>1) + (err2_4>>2); // 2x 4x 2x
       temp
       temp = temp * alpha1M;
              = temp >> 7;
                                        // numerator is assumed to be 4
       temp = temp + guess1 3M;
       guess1 3M = max(0, min(temp, 255));
                                                    // clip value
               = (err2_2>>2) + (err2_3>>1) + (err2_4>>2); // 2x_4x_2x
       temp
       temp += (err3_2>>1) + err3_3 + (err3_4>>1); // 4x 8x 4x
       temp += (err4_2>>2) + (err4_3>>1) + (err4_4>>2); // 2x 4x 2x
```

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```
temp = temp * alphalM;
       temp = temp >> 7;
                                    // numerator is assumed to be 4
       temp = temp + guess3_3M;
       guess3_3M = max(0,min(temp,255));
                                                // clip value
}
// compute sim column 1
int sim1_1 = 0; // column 1
int sim2_1 = 0;
int sim3_1 = 0;
int sim4 1 = 0;
if(!isOddPixel)
{
       siml_1 = final0_0M+guess2_0M+final0 2M+guess2 2M;
       sim1 1 += guess1_1M<<2; // multiply by four
       sim2_1 = (guess1_1M<<1) + (guess2_0M<<1) + (guess2_2M<<1) + (guess3_1M<<1);
       sim3_1 = guess2_0M+guess4_0M+guess2_2M+guess4_2M;
       sim3_1 += guess3_1M<<2; // multiply by four
       sim4_1 = (guess3_1M<<1) + (guess4_0M<<1) + (guess4_2M<<1) + (guess4_1M<<1);
}
else
{
       siml_1 = (final0_1M<<1) + (guessl_0M<<1) + (guessl_2M<<1) + (guessl_1M<<1);
       sim2 1 = guess1 OM+guess3 OM+guess1 2M+guess3 2M;
       sim2_1 += guess2_1M<<2; // multiply by four
       sim3_1 = (guess2_1M << 1) + (guess3_0M << 1) + (guess3_2M << 1) + (guess4_1M << 1);
       sim4_1 = guess3_0M+guess4_0M+guess3_2M+guess4_2M;
       sim4_1 += guess4_1M<<2; // multiply by four
int err1_1 = (image1_1M<<3) - sim1_1; // column 1
int err2_1 = (image2_1M << 3) - sim2_1;
int err3_1 = (image3_1M<<3) - sim3_1;
int err4_1 = (image4_1M << 3) - sim4_1;
// Compute Guess for Column 2
if (!isOddPixel)
{
       temp = (err1_1>>2) + (err1_2>>1) + (err1_3>>2); // 2x 4x 2x
       temp += (err2_1>>1) + err2_2 + (err2_3>>1); // 4x 8x 4x temp += (err3_1>>2) + (err3_2>>1) + (err3_3>>2); // 2x 4x 2x
       temp = temp * alpha2M;
       temp = temp >> 7;
                                    // numerator is assumed to be 4
       temp = temp + guess2 2M;
       guess2_2M = max(0, min(temp, 255));
                                                // clip value
       // err4_3 = don't update this value - we don't realy have enough information
       // I will need to check if an aproximation is better than nothing though
else
                 = (err0_1>>2) + (err0_2>>1) + (err0_3>>2); // 2x 4x 2x
       // temp
            = (final0_2M-127) <<3; // 2x 4x 2x
       temp += (err1_1>>1) + err1 2
                                          + (err1 3>>1); // 4x 8x 4x
```

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```
temp += (err2_1>>2) + (err2_2>>1) + (err2_3>>2); // 2x 4x 2x
      temp = temp * alpha2M;
      temp = temp >> 7;
                                 // numerator is assumed to be 4
      temp = temp + quess1 2M;
      guess1_2M = max(0, min(temp, 255));
                                           // clip value
             = (err2_1>>2) + (err2_2>>1) + (err2_3>>2); // 2x 4x 2x
      temp += (err3_1>>1) + err3_2 + (err3_3>>1); // 4x 8x 4x
      temp += (err4_1>>2) + (err4_2>>1) + (err4_3>>2); // 2x 4x 2x
      temp = temp * alpha2M;
      temp = temp >> 7;
                                 // numerator is assumed to be 4
      temp = temp + quess3 2M;
      guess3_2M = max(0,min(temp,255)); // clip value
, }
// Compute Guess for Column 1
if ( isOddPixel )
      temp = (err1_0M>>2) + (err1_1>>1) + (err1_2>>2); //*2x 4x 2x
      temp += (err2_0M>>1) + err2_1 + (err2_2>>1); // 4x 8x 4x
      temp += (err3_0M>>2) + (err3_1>>1) + (err3_2>>2); // 2x 4x 2x
      temp = temp * alpha3M;
      temp = temp >> 7;
                                 // numerator is assumed to be 4
      temp = temp + guess2 1M;
      guess2 1M = max(0, min(temp, 255));
                                           // clip value
      // err4_1 = don't update this value - we don't realy have enough information
      // I will need to check if an aproximation is better than nothing though
}
else
      //\text{temp} = (err0_0M>>2) + (err0_1>>1) + (err0_2>>2); // 2x 4x 2x
            = (final0_1M-127) << 3; // 2x 4x 2x
      temp += (err1_0M>>1) + err1_1 + (err1_2>>1); // 4x 8x 4x
      temp += (err2_0M>>2) + (err2_1>>1) + (err2_2>>2); // 2x 4x 2x
      temp = temp * alpha3M;
      temp = temp >> 7;
                                 // numerator is assumed to be 4
      temp = temp + guess1_1M;
      guess1 1M = max(0, min(temp, 255));
                                           // clip value
            = (err2_0M>>2) + (err2_1>>1) + (err2_2>>2); // 2x 4x 2x
            += (err3_0M>>1) + err3_1 + (err3_2>>1); // 4x 8x 4x
      temp += (err4 0M>>2) + (err4 1>>1) + (err4 2>>2); // 2x 4x 2x
      temp = temp * alpha3M;
      temp = temp >> 7;
                                 // numerator is assumed to be 4
      temp = temp + guess3 1M;
      guess3_1M = max(0,min(temp,255)); // clip value
}
unsigned char rv = 0;
if( isOddPixel )
{
      rv = guess1 0M;
}
else
      temp = (old_err1_0M>>3)+(err1_0M>>2)+(err1_1>>3);//1x 2x 1x
```

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```
temp = temp>> 2; // divide by 4

// make the signed value fit in one byte!
// I could do a non-linear lookup table here!
if( temp < -127 ) temp = -127;
if( temp > 127 ) temp = 127;
temp += 127;
rv = (unsigned char)temp;
}

// Shift Error Values
old errl OM = errl OM;
errl OM = errl 1;
err2 OM = err2 1;
err3 OM = err3 1;
err4 OM = err4 1;

return rv; //return error value or subframe value
```

}